

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 99,294)

In re Application of:

Brent Townshend

Serial No.: 09/311,617

Filed: May 13, 1999

For: Automated Language Assessment
Using Speech Recognition Modeling



Group Art Unit: 2741

Examiner: Not Assigned

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

In regard to the above-identified patent application:

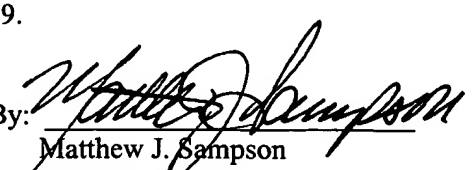
1. We are transmitting herewith the attached:
 - A. Notice to File Missing Parts
 - B. Response to Notice to File Missing Parts
 - C. Petition for Three Month Extension of Time
 - D. Executed Declaration/Power of Attorney
 - E. Verified Statement Claiming Small Entity Status
2. With respect to additional fees:

<u> X </u>	A. No additional fee is required.
	B. Attached are checks in the amount of <u>\$562.00</u> <u>(to file Notice of Missing Parts)</u> and <u>\$435.00 (to file a</u> <u>Three Month Extension of Time</u>

3. Please charge any additional fees or credit overpayments to the Deposit Account No.13-2490. A duplicate copy of this transmittal letter is enclosed.

4. X CERTIFICATE UNDER 37 CFR 1.8 (FIRST CLASS MAIL): The undersigned hereby certifies that this Transmittal Letter and the document(s) as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Box Missing Parts Assistant Commissioner for Patents, Washington, D.C. 20231, on this 2d day of November, 1999.

Dated: November 2, 1999

By: 
Matthew J. Sampson
Reg. No. 35,999

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231,

on _____
Date of Deposit



Matthew Simpson
Name of Registered Representative
Matthew Simpson
Signature
11/2/99
Date of Signature

PATENT
Case No. 99,294

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Brent Townshend)	
)	Group Art Unit: 2741
Serial No.: 09/311,617)	
)	
Filed: May 13, 1999)	Examiner: Not Assigned
)	
For: Automated Language)	
Assessment Using Speech)	
Recognition Modeling)	

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
-- FILING DATE GRANTED --

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated June 3, 1999, a copy of which is attached, enclosed herewith for filing are the following documents:

☒ Fully executed Declaration for Patent Application and
Power of Attorney for the above-referenced patent application.

☒ Applicant is:

☒ a small entity, verified statement is:

☒ attached

☐ already filed

☐ other than small entity

☒ Check totaling \$ 562.00 for:

☒ Filing Fee of \$ 497

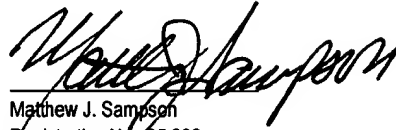
☒ Surcharge of \$ 65

☒ Petition for Three Month Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate) and a check in the amount of \$ 435.00.

☐ Other

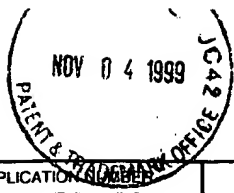
☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this paper is enclosed.

Respectfully submitted,



Matthew J. Sampson
Registration No. 35,999
Attorney for Applicant

McDonnell Boehnen Hulbert & Berghoff
300 South Wacker Drive, 32nd Floor
Chicago, Illinois 60606



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sector #

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/13/99 09/13/99 PUBLISHED

NOTIFIED BY MAIL
MAIL ROOM & RECEIVED
3RD FLOOR
300 SOUTH WALKER DRIVE
CHICAGO IL 60606

DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$55.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 1124

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ 234 for 3 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.52(d), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

P. Walker
Customer Service Center
Initial Patent Examination Division (703) 308-1202

1/1999 KSHIFERA 09311610 16000091 09311610 09311610

888
888
888
888

1021
2021
2051

AB